PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/851,539 TRANSMITTAL Filing Date May 7, 2001 **FORM** First Named Inventor William Willett Art Unit 3712 (to be used for all correspondence after initial filing) **Examiner Name Dmitry Suhol** Attorney Docket Number 10+ MAT 3D7 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): 1. Form PTO-1449 (7 pages) Request for Refund **Express Abandonment Request** 2. 58 References (US, Foreign, Other) CD, Number of CD(s) Information Disclosure Statement (Supplemental) Remarks Certified Copy of Priority RECEIVED Document(s) AUG 0 5 2003 Response to Missing Parts/ Incomplete Application **TECHNOLOGY CENTER R3700** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm B. Anna McCoy, Esq. Kolisch Hartwell, P.C. Individual name Signature Date August 1, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Lisa Munson

Munson This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

August 1, 2003

Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11

n re Application of:

WILLIAM WILLETT

Date: August 1, 2003

8/11/03 RASS

Serial No.

09/851,539

Filed

May 7, 2001

Group Art Unit 3712

Examiner Dmitry Suhol

For

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TECHNOLOGY CENTER R3700

P.O. Box 1450 Alexandria, Virginia 22313-1450

Commissioner for Patents

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicant is submitting this Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the Patent Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicant respectfully requests that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

CONTENT OF DISCLOSURE

This Supplemental Information Disclosure Statement includes (1) seven pages of PTO-1449 forms, and (2) a legible copy of each reference listed on the forms.

TIMING OF DISCLOSURE / FEE INFORMATION

This Supplemental Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Supplemental Information Disclosure Statement.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on August 1, 2003.

Lisa Munson

PATENT TRADEMARK OFFICE

Respectfully submitted,

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B. Anna McCoy

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Of Attorneys for Applicant/Assignee

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FORM PTO-1449 DOCKET NUMBER APPLICATION NUMBER **MAT 3D7** 09/851,539 INFORMATION DISCLOSURE **APPLICANT** CITATION IN AN APPLICATION William Willett FILING DATE **GROUP ART UNIT** May 7, 2001 3712 **U.S. PATENT DOCUMENTS** DOCUMENT NUMBER SUB CLASS DATE CLASS FIL. DATE IF APPROP. **EXAMINER** NAME INITIAL 248,115 10/11/1881 Stearns, Jr. 3,298,130 1/17/67 Ryan TECHNOLOGY CENTER R3700 3,767,901 10/23/73 Black et al. 3,912,694 10/14/75 Chiappe et al. 4,107,462 8/15/78 Asija 4,139,968 2/20/79 Milner 4,177,589 12/11/79 Villa 4,207,704 6/17/80 Akiyama Corris et al. 4,231,184 11/4/80 4,245,430 1/20/81 Hoyt 3/9/82 Stowell et al. 4,318,245 FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUB TRANSLATION NUMBER CLASS YES NO WO 87/07522 XX 12/17/87 **WIPO** WO 96/15837 5/30/96 **WIPO** XX OTHER DOCUMENTS **EXAMINER** DATE CONSIDERED

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